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APRICOT CAPITAL (DIFC) LIMITED

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Dubai International Financial Centre (DIFC)
Apricot Capital (DIFC) Limited

Approved by SEO Resolution # SEO-12.26, dated 09 September 2026

License No:
CL 9033
Dubai - UAE
APRICOT CAPITAL (DIFC) Limited

LIMITED SUITABILITY ASSESSMENT WARNING

This document constitutes a written warning provided to a Professional Client (“the Client) regarding the limitations of the suitability assessment to be undertaken by Apricot Capital (DIFC) Limited (the “Company”) in connection with the provision of the relevant financial services.

The Company may, from time to time, provide investment-related communications, product information, transaction support, structuring assistance and trade execution support. Such communications may include discussions concerning the characteristics, risks, merits or suitability of particular investments, products, transactions or strategies.

Where a suitability assessment is applicable, the assessment undertaken by the Company is conducted within the scope permitted under applicable regulatory requirements and having regard to the information available to the Company concerning the Client, including information relating to the Client’s knowledge and experience, investment objectives, risk appetite and other relevant circumstances, as applicable.

The provision of such communications does not, in itself, constitute an assessment of all possible investment alternatives available to the Client, nor does it necessarily constitute a determination that a particular investment, transaction, strategy or product is suitable for the Client in all respects.

The extent of any suitability assessment may, where permitted under applicable regulatory requirements, be limited in relation to Professional Clients. Accordingly, the Company may not, in connection with each individual transaction or investment decision, undertake a comprehensive assessment of the Client’s overall financial position, including its assets, liabilities, tax circumstances, liquidity requirements or other personal circumstances.

AS A RESULT OF THE LIMITED SCOPE OF THE SUITABILITY ASSESSMENT, CERTAIN ASPECTS OF THE CLIENT’S FINANCIAL CIRCUMSTANCES, NEEDS OR OBJECTIVES MAY NOT BE TAKEN INTO ACCOUNT WHEN ASSESSING A PARTICULAR TRANSACTION, INVESTMENT, STRATEGY OR PRODUCT. CONSEQUENTLY, A TRANSACTION OR INVESTMENT MAY NOT REFLECT THE CLIENT’S COMPLETE FINANCIAL CIRCUMSTANCES, LIQUIDITY REQUIREMENTS, TAX CIRCUMSTANCES OR OTHER PERSONAL CONSIDERATIONS. THE CLIENT SHOULD CONSIDER THE RISKS AND COMMERCIAL MERITS OF EACH INVESTMENT OR TRANSACTION IN LIGHT OF ITS OWN CIRCUMSTANCES AND ENSURE THAT THE INFORMATION PROVIDED TO THE COMPANY REMAINS COMPLETE, ACCURATE AND UP TO DATE.

The Client hereby acknowledges and agrees that:

1. the Client has understood this document and expressly consents to the limited suitability assessment described herein;
2. the Client possesses sufficient knowledge, experience, and expertise to understand the nature and risks of the investments and transactions contemplated through the Company;
3. the Company’s suitability assessment is limited to assessing whether the transactions, investment strategies or recommendations are generally consistent with the Client’s agreed investment profile, based on the information provided by the Client at the point of onboarding and thereafter, as required for the customer due diligence and professional client classification purposes, and any updates to these records, rather than undertaking a comprehensive suitability assessment in relation to every investment decision;
4. the Company shall not be required to verify independently all the information provided by the Client;
5. the Client remains responsible for evaluating the commercial merits and risks of any transaction and for informing the Company promptly of any material change to their financial circumstances, investment objectives, risk appetite, investment restrictions, or other relevant information;
6. the communications with the Company's representatives concerning investments, market opportunities, transaction structures, products, issuers or strategies may involve the expression of views, opinions, analyses, recommendations or investment ideas;
7. except where expressly agreed in writing, such communications are provided within the scope of the limited suitability framework described herein and are not intended to constitute a full assessment of all investment options available to the Client;
8. the Client remains responsible for promptly notifying the Company of any material change to its financial circumstances, investment objectives, risk appetite, knowledge and experience, investment restrictions, liquidity requirements or any other information that may reasonably affect the assessment of the appropriateness or suitability of a transaction, investment strategy or recommendation; and
9. it has read and understood the information in this document, understands the scope and limitations of suitability assessment done by the Company, and expressly consents to receiving financial services on the basis described in this document.